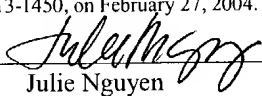


1641

PATENT  
Docket No.: 1179/204

**CERTIFICATE OF MAILING BY "FIRST CLASS MAIL"**

I hereby certify that this paper or fee is being deposited with the United States Postal Service as first class mail in an envelope addressed to:  
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Julie Nguyen

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**RECEIVED**

**MAR 09 2004**

In the application of:

Ho

Serial No.: 09/973,209

Filing Date: October 9, 2001

For: QUANTITATIVE MICROFLUIDIC  
BIOCHIP AND METHOD OF USE

Examiner: Lam, Ann Y.

Group Art Unit: 1641

**SUPPLEMENTAL INFORMATION DISCLOSURE  
STATEMENT UNDER 37 C.F.R. § 1.97**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO-1449. Copies of the documents are also submitted herewith. The Examiner is requested to make these documents of record.

This Information Disclosure Statement is submitted:

- ☒ Within three months of the application filing date or before receipt of a first Office Action on the merits; accordingly, no fee or separate requirements are required.
- ☐ After receipt of a first Office Action on the merits but before a final Office Action or Notice of Allowance.
  - ☐ A fee is required. A check for payment of the fees set forth in 37 C.F.R. § 1.17(p) is attached.
  - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided below; accordingly, no fee is believed to be due.
- ☐ After receipt of a final Office Action or Notice of Allowance, but before payment of the issue fee. A check for payment of the fees set forth in 37 C.F.R. § 1.17(p), and a Certification under 37 C.F.R. § 1.97(e) are provided herein.

The undersigned declares that:

- ☐ Each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.
- ☐ No item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the statement after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the information disclosure statement.

The Assistant Commissioner is hereby authorized to charge any fees which may be required by this statement to **Deposit Account Number 501288** referencing docket no. 1179/204.

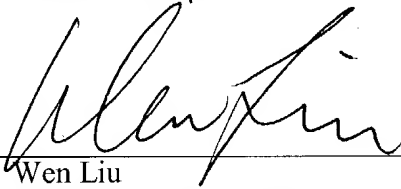
Applicants would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

This Information Disclosure Statement under 37 C.F.R. § 1.97 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

Dated: February 27, 2004

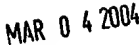
Respectfully submitted,

By: \_\_\_\_\_



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Approved for use through 07/31/2006. OMB 0651-0031  
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Substitute for form 1449/RT-0

*(Use as many sheets as necessary)*

Sheet	1	of	1
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Application Number	09/973,209
Filing Date	October 9, 2001
First Named Inventor	Ho
Art Unit	1641
Examiner Name	Lam, Ann Y.
Attorney Docket Number	1179/204

[illegible][illegible]

Date Considered	
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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND**

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.